## STATE OF NORTH CAROLINA DEPARTMENT OF TRANSPORTATION



#### **DIVISION**

# **CONTRACT PROPOSAL** SMALL BUSINESS ENTERPRISE

DATE AND TIME OF BID OPENING: APRIL 2, 2015 AT 2:00 PM

**CONTRACT ID:** N/A

WBS ELEMENT NO.: **VARIOUS** 

**FEDERAL AID NO.:** N/A

**COUNTY: Nash and Wilson Counties** 

TIP NO.: N/A **MILES:** N/A

**ROUTE NO.:** I-95, I-795 LOCATION: **VARIOUS** 

TYPE OF WORK: BARK MULCH (SUPPLY AND APPLY)

NAME OF BIDDER

ADDRESS OF BIDDER

**RETURN BIDS TO:** 

N. C. DEPARTMENT OF TRANSPORTATION **DIVISION OF HIGHWAYS, DIVISION 4** ATTN: Jerry Page, PE 509 Ward Blvd., P.O. Box 3165

Wilson, NC 27895

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## PROPOSAL FOR BARK MULCH (SUPPLY AND APPLY) IN NASH AND WILSON COUNTIES, NORTH CAROLINA

**APRIL 2, 2015** 

#### DEPARTMENT OF TRANSPORTATION, RALEIGH, NORTH CAROLINA

The Bidder has carefully examined the location of the proposed work to be known as Bark Mulch (Supply and Apply); has carefully examined the plans and specifications, which are acknowledged to be part of the proposal, the special provisions, the proposal and the form of contract; and thoroughly understands the stipulations, requirements and provisions. The undersigned bidder agrees to bound upon his execution of the bid and subsequent award to him by the Department of Transportation in accordance with this proposal to provide agrees to provide all necessary machinery, tools, labor, and other means of construction; and to do all the work and to furnish all materials, except as otherwise noted, necessary to perform and complete the said contract in accordance with the 2012 Standard Specifications for Roads and Structures by the dates(s) specified in the Project Special Provisions and in accordance with the requirements of the Engineer, and at the unit or lump sum prices, as the case may be, for the various items given on the sheets contained herein.

The Bidder shall provide and furnish all the materials, machinery, implements, appliances and tools, and perform the work and required labor to construct and complete Bark Mulch (Supply and Apply) in **Nash and Wilson Counties**, for the unit or lump sum prices, as the case may be, bid by the Bidder in his bid and according to the proposal, plans, and specifications prepared by said Department, which proposal, plans, and specifications show the details covering this project, and hereby become a part of this contract.

The published volume entitled *North Carolina Department of Transportation, Raleigh, Standard Specifications for Roads and Structures, January 2012* with all amendments and supplements thereto, is by reference incorporated into and made a part of this contract; that, except as herein modified, all the construction and work included in this contract is to be done in accordance with the specifications contained in said volume, and amendments and supplements thereto, under the direction of the Engineer.

If the proposal is accepted and the award is made, the contract is valid only when signed either by the Contract Officer or such other person as may be designated by the Secretary to sign for the Department of Transportation. The conditions and provisions herein cannot be changed except over the signature of the said Contract Officer or Division Engineer.

The quantities shown in the itemized proposal for the project are considered to be approximate only and are given as the basis for comparison of bids. The Department of Transportation may increase or decrease the quantity of any item or portion of the work as may be deemed necessary or expedient.

An increase or decrease in the quantity of an item will not be regarded as sufficient ground for an increase or decrease in the unit prices, nor in the time allowed for the completion of the work, except as provided for the contract.

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#### INSTRUCTIONS TO BIDDERS

## PLEASE READ ALL INSTRUCTIONS CAREFULLY BEFORE PREPARING AND SUBMITTING YOUR BID.

All bids shall be prepared and submitted in accordance with the following requirements. Failure to comply with any requirement may cause the bid to be considered irregular and may be grounds for rejection of the bid.

#### TRADITIONAL PAPER BIDS:

- 1. Download the entire proposal from the Connect NCDOT website and return the entire proposal with your bid
- 2. All entries on the itemized proposal sheet (bid form) shall be written in ink or typed.
- **3.** The Bidder shall submit a unit price for every item on the itemized proposal sheet. The unit prices for the various contract items shall be written in figures. Unit prices shall be rounded off by the Bidder to contain no more than FOUR decimal places.
- **4.** An amount bid shall be entered on the itemized proposal sheet for every item. The amount bid for each item shall be determined by multiplying each unit bid by the quantity for that item, and shall be written in figures in the "Amount" column of the form.
- 5. The total amount bid shall be written in figures in the proper place on the bid form. The total amount bid shall be determined by adding the amounts bid for each item.
- **6.** Changes to any entry shall be made by marking through the entry in ink and making the correct entry adjacent thereto in ink. A representative of the Bidder shall initial the change in ink. Do not use correction fluid, correction tape or similar product to make corrections.
- 7. The bid shall be properly executed on the included Execution of Bid Non-collusion Affidavit, Debarment Certification and Gift Ban Certification form. All bids shall show the following information:
  - a. Name of corporation, partnership, limited liability company, joint venture, individual or firm, submitting bid.
    - Corporations that have a corporate seal should include it on the bid.
  - b. Name of individual or representative submitting bid and position or title held on behalf of the bidder.
  - c. Name, signature, and position or title of witness.
  - d. Completed attestation by Notary Public

#### Note: Signer, Witness and Notary Public must be different individuals.

- 8. The bid shall not contain any unauthorized additions, deletions, or conditional bids.
- **9.** The Bidder shall not add any provision reserving the right to accept or reject an award, or to enter into a contract pursuant to an award.
- 10. THE PROPOSAL WITH THE ITEMIZED PROPOSAL SHEET ATTACHED SHALL BE PLACED IN A SEALED ENVELOPE AND SHALL BE DELIVERED TO AND RECEIVED IN THE NCDOT DIVISION 4 OFFICE, LOCATED AT 509 Ward Blvd., P.O. Box 3165, BY 2:00 PM ON THURSDAY APRIL 2, 2015.
- 11. The sealed bid must display the following statement on the front of the sealed envelope:

# QUOTATION FOR BARK MULCH (SUPPLY AND APPLY) TO BE OPENED AT 2:00 PM ON THURSDAY APRIL 2, 2015.

**12.** If delivered by mail, the sealed envelope shall be placed in another sealed envelope and the outer envelope shall be addressed as follows:

N. C. DEPARTMENT OF TRANSPORTATION ATTN: Jerry Page, PE 509 Ward Blvd., P.O. Box 3165 Wilson, NC 27895

#### **PROJECT SPECIAL PROVISIONS**

#### **GENERAL**

#### **CONTRACT TIME AND LIQUIDATED DAMAGES:**

(7-1-95) (Rev. 12-18-07) 108 SP1 G10 A

The date of availability for this contract is **April 13, 2015**.

The completion date for this contract is May 22, 2015.

Except where otherwise provided by the contract, observation periods required by the contract will not be a part of the work to be completed by the completion date and/or intermediate contract times stated in the contract. The acceptable completion of the observation periods that extend beyond the final completion date shall be a part of the work covered by the performance and payment bonds.

The liquidated damages for this contract are \$ Five Hundred Dollars (\$500.00) per calendar day.

#### **PRECONSTRUCTION CONFERENCE:**

The Contractor shall contact Mr. Byron Bateman at (252) 237-6164 to arrange a Preconstruction conference. The project superintendent is required to attend the Preconstruction conference.

#### **COOPERATION BETWEEN CONTRACTORS:**

(7-1-95) 105-7

SP1 G133

The Contractor's attention is directed to Article 105-7 of the 2012 Standard Specifications.

[Other Project Location-See Insert]

The Contractor on this project shall cooperate with the Contractor working within or adjacent to the limits of this project to the extent that the work can be carried out to the best advantage of all concerned.

#### **OUTSOURCING OUTSIDE THE USA:**

(9-21-04) (Rev. 5-16-06)

SP1 G150

All work on consultant contracts, services contracts, and construction contracts shall be performed in the United States of America. No work shall be outsourced outside of the United States of America.

*Outsourcing* for the purpose of this provision is defined as the practice of subcontracting labor, work, services, staffing, or personnel to entities located outside of the United States.

The North Carolina Secretary of Transportation shall approve exceptions to this provision in writing.

#### GIFTS FROM VENDORS AND CONTRACTORS:

(12-15-09) 107-1 SPI G152

By Executive Order 24, issued by Governor Perdue, and *N.C.G.S.* § 133-32, it is unlawful for any vendor or contractor (i.e. architect, bidder, contractor, construction manager, design professional, engineer, landlord, offeror, seller, subcontractor, supplier, or vendor), to make gifts or to give favors to any State employee of the Governor's Cabinet Agencies (i.e. Administration, Commerce, Correction, Crime Control and Public Safety, Cultural Resources, Environment and Natural Resources, Health and Human Services, Juvenile Justice and Delinquency Prevention, Revenue, Transportation, and the Office of the Governor). This prohibition covers those vendors and contractors who:

- (A) Have a contract with a governmental agency; or
- (B) Have performed under such a contract within the past year; or
- (C) Anticipate bidding on such a contract in the future.

For additional information regarding the specific requirements and exemptions, vendors and contractors are encouraged to review Executive Order 24 and *N.C.G.S.* § 133-32.

Executive Order 24 also encouraged and invited other State Agencies to implement the requirements and prohibitions of the Executive Order to their agencies. Vendors and contractors should contact other State Agencies to determine if those agencies have adopted Executive Order 24.

#### **LIABILITY INSURANCE:**

(5-20-14) SPI G160

Revise the 2012 Standard Specifications as follows:

Page 1-60, Article 107-15 LIABILITY INSURANCE, line 16, add the following as the second sentence of the third paragraph:

Prior to beginning services, all contractors shall provide proof of coverage issued by a workers' compensation insurance carrier, or a certificate of compliance issued by the Department of Insurance for self-insured subcontractors, irrespective of whether having regularly in service fewer than three employees.

#### **EMPLOYMENT:**

(11-15-11) (Rev. 1-17-12) 108, 102 SPI G184

Revise the 2012 Standard Specifications as follows:

Page 1-20, Subarticle 102-15(O), delete and replace with the following:

(O) Failure to restrict a former Department employee as prohibited by Article 108-5.

Page 1-65, Article 108-5 Character of Workmen, Methods, and Equipment, line 32, delete all of line 32, the first sentence of the second paragraph and the first word of the second sentence of the second paragraph.

### STATE HIGHWAY ADMINISTRATOR TITLE CHANGE:

(9-18-12) SPI G185

Revise the 2012 Standard Specifications as follows:

Replace all references to "State Highway Administrator" with "Chief Engineer".

#### **SUBLETTING OF CONTRACT:**

(11-18-2014) 108-6 SPI G186

Revise the 2012 Standard Specifications as follows:

Page 1-66, Article 108-6 Subletting of Contract, line 37, add the following as the second sentence of the first paragraph:

All requests to sublet work shall be submitted within 30 days of the date of availability or prior to expiration of 20% of the contract time, whichever date is later, unless otherwise approved by the Engineer.

Page 1-67, Article 108-6 Subletting of Contract, line 7, add the following as the second sentence of the fourth paragraph:

Purchasing materials for subcontractors is not included in the percentage of work required to be performed by the Contractor. If the Contractor sublets items of work but elects to purchase material for the subcontractor, the value of the material purchased will be included in the total dollar amount considered to have been sublet.

#### **BARK MULCH**

#### **MATERIALS AND TESTING:**

NCDOT reserves the right to require the Contractor to furnish a representative sample of the mulch to be supplied or to make available the source of mulch supply for inspection by NCDOT. Mulch not meeting the specifications described in this contract proposal and not acceptable to the Engineer will be rejected.

#### PROSECUTION AND PROGRESS:

The bark mulch operations will be restricted to daylight hours. No work will be performed on Saturdays, Sundays and Legal State holidays except as authorized by the Engineer. Work shall only be performed when weather and visibility conditions allow safe operations. This may result in restricted work hours in heavily traveled areas, especially during morning and evening commute times.

#### CONTRACTOR'S OPERATIONS PLAN:

As part of the bid submittal package, please provide a complete listing of all supplies, materials, personnel, and equipment with estimated quantities of each you propose you use to fulfill the requirements of this contract.

Please provide detailed information on bark mulch application blower truck(s) that you plan to use to fulfill the contract requirements, including but not limited to the following for each piece of equipment: type, manufacturer name, model number and model year, capacity/volume, rate of application capability, time period available (beginning and ending dates you will have equipment available for use), and whether you will own, rent, or lease the equipment, or any other arrangements.

Likewise for bark mulch you plan to use to fulfill the contract requirements, please provide detailed information, including but not limited to the following: availability of shredded hardwood and pine bark mulch, sources, quality, consistency, particle size, color, and percent "wood" content (defined as the interior hard fibrous celluletic xylem of trees).

As part of your bid submittal package, please provide a complete work plan you propose to use to fulfill the requirements of this contract.

The operations plan shall be complete, clear and legible in all respects without a need for additional explanation or information and shall clearly demonstrate that the bidder has a thorough understanding of the requirements of this contract.

#### BARK MULCH (SUPPLY AND APPLY)

The Contractor shall provide bark mulching services in accordance with these specifications and as directed by the Engineer. The Engineer will identify the location(s) where the Contractor will provide bark mulching services. The Engineer or his duly authorized representative will monitor the Contractor's operations on a periodic basis to assure compliance with proper procedures.

#### Bark Mulch:

Approved quality pine bark mulch or shredded hardwood mulch consistent in composition, rich in medium to dark brown color, and free of metal, foreign debris, weeds and insects shall be supplied, delivered, and installed by the Contractor. The mulch applied to the ground shall be sized so as to pass a maximum of a 2.5 - inch screen (with minimum particle size of 0.75") and consist of a maximum of 15% "wood" defined as the interior hard fibrous celluletic xylem of trees. No large pine bark nuggets will be permitted for use. All mulch shall be of a quality necessary for application by approved blower truck. Mulch may be stockpiled prior to application at predetermined NCDOT sites. NCDOT personnel will assist in locating a minimum of one location for stockpiling contractor-delivered mulch prior to application. The stockpile site(s) will be located as near to the application site(s) as can be arranged. Mulch not meeting specifications shall be removed from the highway right-of-way or other NCDOT property at the Contractor's expense. The Contractor shall be responsible for furnishing a loader and operator for loading at stockpile locations and shall be responsible for clean-up of the stockpile area to the satisfaction of the Engineer.

#### **Description of the Work:**

It is the intent of this contract to purchase bark mulch applied to NCDOT sites by

mechanical means utilizing a blower truck with a flexible hose application system for direct targeted application.

Please note: The Contractor must have sufficient equipment and personnel to apply a minimum of one hundred sixty (160) cubic yards of mulch per day for open areas and eighty (80) cubic yards per day for "tree ring" – type areas.

Application areas will often be adjacent to high volume traffic; therefore no bark distribution by manual/hand operation or trailer-mounted blowers will be permitted due to safety concerns.

Upon request but exclusively and only for "tree-ring"/small landscape bed applications, the Contractor may use smaller mechanical bark mulch application equipment or manual labor and hand tools. When using this alternative, the minimum daily volume stated above (80 CY/day) will be applicable.

The Contractor shall supply all supervision, labor, bark mulch, and equipment necessary to comply with these specifications.

#### **Application of Mulch:**

The Department shall furnish at least one (1) person to inspect work performance and to assist the Contractor in locating the sites that will receive mulch. The Contractor is not to begin work at any given location without notifying the representative and receiving specific approval to proceed.

At the discretion of the Engineer, mulch shall be applied at a depth not to exceed four (4) inches for new mulch areas and not to exceed two (2) inches of depth in areas with existing mulch. The Department will not be responsible for payment of mulch that has been excessively applied. The Contractor shall provide at all times a minimum of two (2) people to operate each blower/applicator and to apply the mulch, unless otherwise approved by the Engineer.

Mulch amounts must be verified and approved by a Department representative. The Department reserves the right to measure the dimensions of Contractor trucks used for hauling and/or applying mulch in order to verify volume quantities. The Contractor agrees to make such trucks available to the Department if measuring is desired. The Contractor shall furnish a delivery ticket to the Department for each load of mulch delivered and applied according to these specifications. Delivery ticket(s) shall include cubic yards volume per each individual load, as well as purchase order number, date, and work site. NCDOT should check delivery tickets for discrepancies at the delivery site or as soon as possible and notify the contractor immediately for any verification or measurement desired if discrepancies are noticed. Resolution of discrepancies will be a joint responsibility of the Division Roadside Environmental Engineer and the Contractor. Failure of the Contractor to properly notify or receive signed verification will result in forfeiture of Contractor's right to collect on any mulch applied under such circumstances.

#### TRAFFIC CONTROL AND WORK ZONE SAFETY:

The Contractor shall maintain traffic during construction and shall provide, install and maintain all traffic control devices as shown in the 2012 Roadway Standard Drawings or as directed by the Engineer. All traffic control and traffic control devices required for any operation shall be functional and in place prior to the commencement of that operation. Signs for temporary operations shall be removed during periods of inactivity.

In accordance with Article 107-21 of the <u>Standard Specifications</u>, the Contractor shall comply with all applicable Federal, State, and local laws, ordinances, and regulations governing safety, health, and sanitation, and shall provide all safeguards, safety devices, and protective equipment, and shall take any other needed actions, on his own responsibility that are reasonably necessary to protect the life and health of employees on the job and the safety of the public, and to protect property in connection with the performance of the work covered by the contract.

Failure to comply with any of the requirements for safety and traffic control of this contract shall result in suspension of work as provided in subarticle 108-7(B) of the <u>Standard Specifications</u>.

Traffic control shall include but not be limited to providing **Signs** (**portable**, **stationary**, **or barricade**), **Flaggers**, **Cones**, **Skinny Drums** and **Drums** and all labor, tools, equipment and incidentals necessary to furnish, install, maintain and remove traffic control devices when no longer required.

Payment will be made for the traffic control items that have been included in the contract. No direct payment will be made for providing other traffic control as required herein, as the cost of same will be considered incidental to the work being paid for under those various traffic control items that have been included. Where the Contractor maintains traffic as required herein but no specific pay items have been included in the contract, all associated costs will be considered incidental to the work being paid for under the various items in the contract.

# STANDARD SPECIAL PROVISION AVAILABILITY OF FUNDS – TERMINATION OF CONTRACTS

(5-20-08) Z-2

General Statute 143C-6-11. (h) Highway Appropriation is hereby incorporated verbatim in this contract as follows:

(h) Amounts Encumbered. – Transportation project appropriations may be encumbered in the amount of allotments made to the Department of Transportation by the Director for the estimated payments for transportation project contract work to be performed in the appropriation fiscal year. The allotments shall be multiyear allotments and shall be based on estimated revenues and shall be subject to the maximum contract authority contained in *General Statute 143C-6-11(c)*. Payment for transportation project work performed pursuant to contract in any fiscal year other than the current fiscal year is subject to appropriations by the General Assembly. Transportation project contracts shall contain a schedule of estimated completion progress, and any acceleration of this progress shall be subject to the approval of the Department of Transportation provided funds are available. The State reserves the right to terminate or suspend any transportation project contract, and any transportation project contract shall be so terminated or suspended if funds will not be available for payment of the work to be performed during that fiscal year pursuant to the contract. In the event of termination of any contract, the contractor shall be given a written notice of termination at least 60 days before completion of scheduled work for which funds are available. In the event of termination, the contractor shall be paid for the work already performed in accordance with the contract specifications.

Payment will be made on any contract terminated pursuant to the special provision in accordance with Subarticle 108-13(E) of the 2012 Standard Specifications.

#### **ERRATA**

(1-17-12) (Rev. 04-21-15) Z-4

Revise the 2012 Standard Specifications as follows:

#### **Division 2**

Page 2-7, line 31, Article 215-2 Construction Methods, replace "Article 107-26" with "Article 107-25". Page 2-17, Article 226-3, Measurement and Payment, line 2, delete "pipe culverts,".

Page 2-20, Subarticle 230-4(B), Contractor Furnished Sources, change references as follows: Line 1, replace "(4) Buffer Zone" with "(c) Buffer Zone"; Line 12, replace "(5) Evaluation for Potential Wetlands and Endangered Species" with "(d) Evaluation for Potential Wetlands and Endangered Species"; and Line 33, replace "(6) Approval" with "(4) Approval".

#### **Division 3**

Page 3-1, after line 15, Article 300-2 Materials, replace "1032-9(F)" with "1032-6(F)".

#### **Division 4**

Page 4-77, line 27, Subarticle 452-3(C) Concrete Coping, replace "sheet pile" with "reinforcement".

#### Division 6

Page 6-7, line 31, Article 609-3 Field Verification of Mixture and Job Mix Formula Adjustments, replace "30" with "45".

Page 6-10, line 42, Subarticle 609-6(C)(2), replace "Subarticle 609-6(E)" with "Subarticle 609-6(D)".

**Page 6-11, Table 609-1 Control Limits,** replace "Max. Spec. Limit" for the Target Source of  $P_{0.075}/P_{be}$  Ratio with "1.0".

**Page 6-40, Article 650-2 Materials,** replace "Subarticle 1012-1(F)" with "Subarticle 1012-1(E)"

#### **Division 7**

Page 7-1, Article 700-3, CONCRETE HAULING EQUIPMENT, line 33, replace "competion" with "completion".

#### **Division 8**

Page 8-23, line 10, Article 838-2 Materials, replace "Portland Cement Concrete, Class B" with "Portland Cement Concrete, Class A".

#### **Division 10**

**Page 10-166, Article 1081-3 Hot Bitumen,** replace "Table 1081-16" with "Table 1081-2", replace "Table 1081-17" with "Table 1081-3", and replace "Table 1081-18" with "Table 1081-4".

#### **Division 12**

Page 12-7, Table 1205-3, add "FOR THERMOPLASTIC" to the end of the title.

Page 12-8, Subarticle 1205-5(B), line 13, replace "Table 1205-2" with "Table 1205-4".

Page 12-8, Table 1205-4 and 1205-5, replace "THERMOPLASTIC" in the title of these tables with "POLYUREA".

**Page 12-9, Subarticle 1205-6(B), line 21,** replace "Table 1205-4" with "Table 1205-6".

Page 12-11, Subarticle 1205-8(C), line 25, replace "Table 1205-5" with "Table 1205-7".

#### **Division 15**

**Page 15-4, Subarticle 1505-3(F) Backfilling, line 26,** replace "Subarticle 235-4(C)" with "Subarticle 235-3(C)".

**Page 15-6, Subarticle 1510-3(B), after line 21,** replace the allowable leakage formula with the following:  $\Box = \Box \Box \sqrt{\Box} \div \Box \Box \Box, \Box \Box$ 

Page 15-6, Subarticle 1510-3(B), line 32, delete "may be performed concurrently or" and replace with "shall be performed".

Page 15-17, Subarticle 1540-3(E), line 27, delete "Type 1".

#### **Division 17**

Page 17-26, line 42, Subarticle 1731-3(D) Termination and Splicing within Interconnect Center, delete this subarticle.

Revise the 2012 Roadway Standard Drawings as follows:

**1633.01 Sheet 1 of 1, English Standard Drawing for Matting Installation,** replace "1633.01" with "1631.01".

#### **PLANT AND PEST QUARANTINES**

(Imported Fire Ant, Gypsy Moth, Witchweed, And Other Noxious Weeds)

(3-18-03) (Rev. 10-15-13)

Z-04a

#### Within Quarantined Area

This project may be within a county regulated for plant and/or pests. If the project or any part of the Contractor's operations is located within a quarantined area, thoroughly clean all equipment prior to moving out of the quarantined area. Comply with federal/state regulations by obtaining a certificate or limited permit for any regulated article moving from the quarantined area.

#### **Originating in a Quarantined County**

Obtain a certificate or limited permit issued by the N.C. Department of Agriculture/United States Department of Agriculture. Have the certificate or limited permit accompany the article when it arrives at the project site.

#### Contact

Contact the N.C. Department of Agriculture/United States Department of Agriculture at 1-800-206-9333, 919-733-6932, or <a href="http://www.ncagr.gov/plantind/">http://www.ncagr.gov/plantind/</a> to determine those specific project sites located in the quarantined area or for any regulated article used on this project originating in a quarantined county.

#### **Regulated Articles Include**

- 1. Soil, sand, gravel, compost, peat, humus, muck, and decomposed manure, separately or with other articles. This includes movement of articles listed above that may be associated with cut/waste, ditch pulling, and shoulder cutting.
- 2. Plants with roots including grass sod.
- 3. Plant crowns and roots.
- 4. Bulbs, corms, rhizomes, and tubers of ornamental plants.
- 5. Hay, straw, fodder, and plant litter of any kind.
- 6. Clearing and grubbing debris.
- 7. Used agricultural cultivating and harvesting equipment.
- 8. Used earth-moving equipment.
- 9. Any other products, articles, or means of conveyance, of any character, if determined by an inspector to present a hazard of spreading imported fire ant, gypsy moth, witchweed or other noxious weeds.

#### **MINIMUM WAGES**

(7-21-09) Z-5

**FEDERAL:** The Fair Labor Standards Act provides that with certain exceptions every employer shall pay wages at the rate of not less than SEVEN DOLLARS AND TWENTY FIVE CENTS (\$7.25) per hour.

STATE: The North Carolina Minimum Wage Act provides that every employer shall pay to each of his employees, wages at a rate of not less than SEVEN DOLLARS AND TWENTY FIVE CENTS (\$7.25) per hour.

The minimum wage paid to all skilled labor employed on this contract shall be SEVEN DOLLARS AND TWENTY FIVE CENTS (\$7.25) per hour.

The minimum wage paid to all intermediate labor employed on this contract shall be SEVEN DOLLARS AND TWENTY FIVE CENTS (\$7.25) per hour.

The minimum wage paid to all unskilled labor on this contract shall be SEVEN DOLLARS AND TWENTY FIVE CENTS (\$7.25) per hour.

This determination of the intent of the application of this act to the contract on this project is the responsibility of the Contractor.

The Contractor shall have no claim against the Department of Transportation for any changes in the minimum wage laws, Federal or State. It is the responsibility of the Contractor to keep fully informed of all Federal and State Laws affecting his contract.

### **ON-THE-JOB TRAINING**

(10-16-07) (Rev. 4-21-15) Z-10

#### **Description**

The North Carolina Department of Transportation will administer a custom version of the Federal On-the-Job Training (OJT) Program, commonly referred to as the Alternate OJT Program. All contractors (existing and newcomers) will be automatically placed in the Alternate Program. Standard OJT requirements typically associated with individual projects will no longer be applied at the project level. Instead, these requirements will be applicable on an annual basis for each contractor administered by the OJT Program Manager.

On the Job Training shall meet the requirements of 23 CFR 230.107 (b), 23 USC – Section 140, this provision and the On-the-Job Training Program Manual.

The Alternate OJT Program will allow a contractor to train employees on Federal, State and privately funded projects located in North Carolina. However, priority shall be given to training employees on NCDOT Federal-Aid funded projects.

#### **Minorities and Women**

Developing, training and upgrading of minorities and women toward journeyman level status is a primary objective of this special training provision. Accordingly, the Contractor shall make every effort to enroll minority and women as trainees to the extent that such persons are available within a reasonable area of recruitment. This training commitment is not intended, and shall not be used, to discriminate against any applicant for training, whether a member of a minority group or not.

#### **Assigning Training Goals**

The Department, through the OJT Program Manager, will assign training goals for a calendar year based on the contractors' past three years' activity and the contractors' anticipated upcoming year's activity with the Department. At the beginning of each year, all contractors eligible will be contacted by the Department to determine the number of trainees that will be assigned for the upcoming calendar year. At that time the Contractor shall enter into an agreement with the Department to provide a self-imposed onthe-job training program for the calendar year. This agreement will include a specific number of annual training goals agreed to by both parties. The number of training assignments may range from 1 to 15 per contractor per calendar year. The Contractor shall sign an agreement to fulfill their annual goal for the year.\

#### **Training Classifications**

The Contractor shall provide on-the-job training aimed at developing full journeyman level workers in the construction craft/operator positions. Preference shall be given to providing training in the following skilled work classifications:

Equipment Operators Office Engineers
Truck Drivers Estimators

Carpenters Iron / Reinforcing Steel Workers

Concrete Finishers Mechanics
Pipe Layers Welders

The Department has established common training classifications and their respective training requirements that may be used by the contractors. However, the classifications established are not all-inclusive. Where the training is oriented toward construction applications, training will be allowed in lower-level management positions such as office engineers and estimators. Contractors shall submit new classifications for specific job functions that their employees are performing. The Department will review and recommend for acceptance to FHWA the new classifications proposed by contractors, if applicable. New classifications shall meet the following requirements:

Proposed training classifications are reasonable and realistic based on the job skill classification needs, and

The number of training hours specified in the training classification is consistent with common practices and provides enough time for the trainee to obtain journeyman level status.

The Contractor may allow trainees to be trained by a subcontractor provided that the Contractor retains primary responsibility for meeting the training and this provision is made applicable to the subcontract. However, only the Contractor will receive credit towards the annual goal for the trainee.

Where feasible, 25 percent of apprentices or trainees in each occupation shall be in their first year of apprenticeship or training. The number of trainees shall be distributed among the work classifications on the basis of the contractor's needs and the availability of journeymen in the various classifications within a reasonable area of recruitment.

No employee shall be employed as a trainee in any classification in which they have successfully completed a training course leading to journeyman level status or in which they have been employed as a journeyman.

#### **Records and Reports**

The Contractor shall maintain enrollment, monthly and completion reports documenting company compliance under these contract documents. These documents and any other information as requested shall be submitted to the OJT Program Manager.

Upon completion and graduation of the program, the Contractor shall provide each trainee with a certification Certificate showing the type and length of training satisfactorily completed.

#### **Trainee Interviews**

All trainees enrolled in the program will receive an initial and Trainee/Post graduate interview conducted by the OJT program staff.

#### **Trainee Wages**

Contractors shall compensate trainees on a graduating pay scale based upon a percentage of the prevailing minimum journeyman wages (Davis-Bacon Act). Minimum pay shall be as follows:

of the journeyman wage for the first half of the training period
of the journeyman wage for the third quarter of the training period
of the journeyman wage for the last quarter of the training period

In no instance shall a trainee be paid less than the local minimum wage. The Contractor shall adhere to the minimum hourly wage rate that will satisfy both the NC Department of Labor (NCDOL) and the Department.

#### **Achieving or Failing to Meet Training Goals**

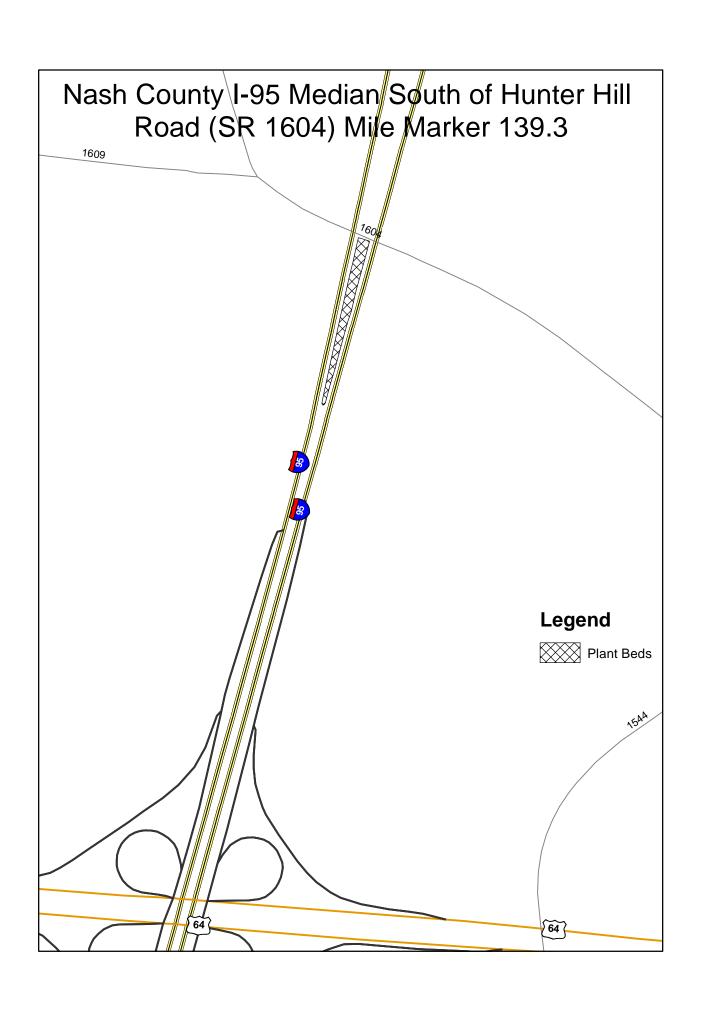
The Contractor will be credited for each trainee employed by him on the contract work who is currently enrolled or becomes enrolled in an approved program and who receives training for at least 50 percent of the specific program requirement. Trainees will be allowed to be transferred between projects if required by the Contractor's scheduled workload to meet training goals.

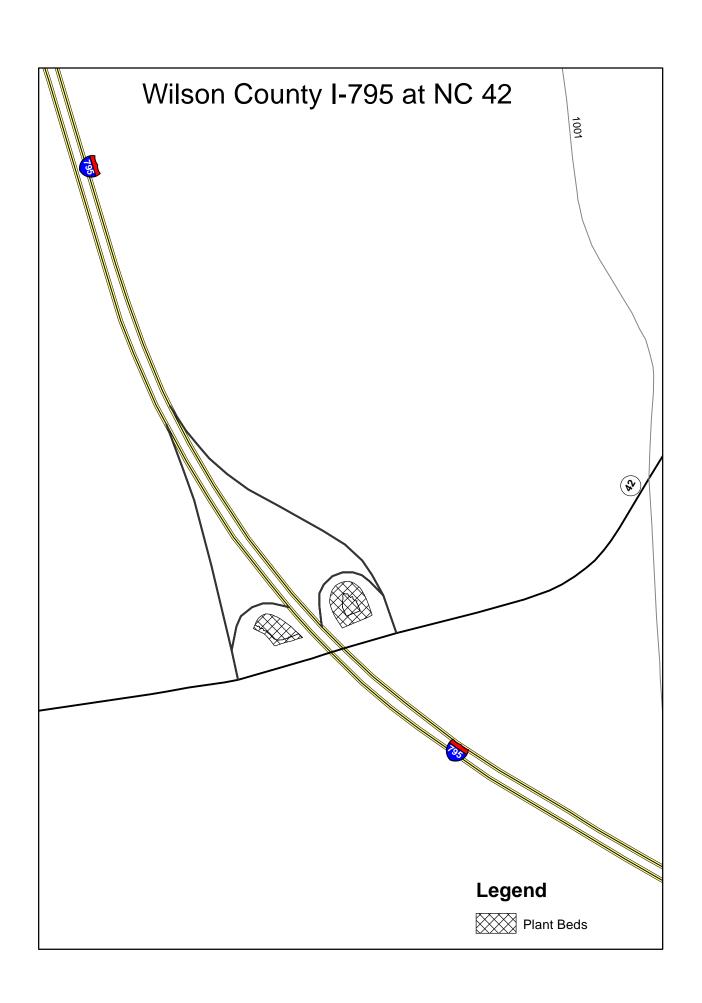
If a contractor fails to attain their training assignments for the calendar year, they may be taken off the NCDOT's Bidders List.

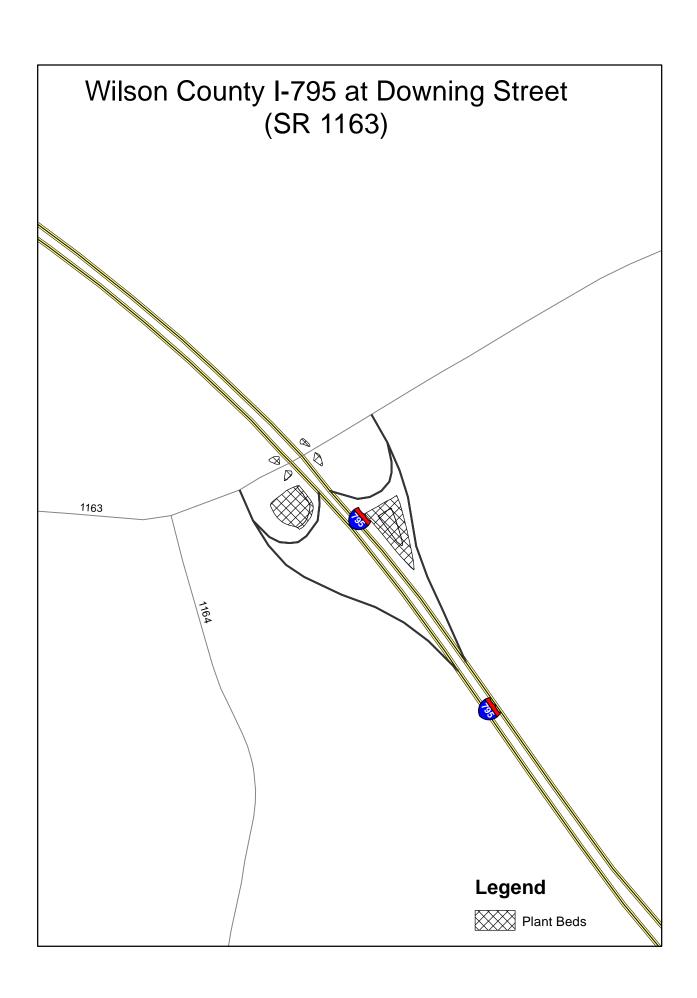
#### **Measurement and Payment**

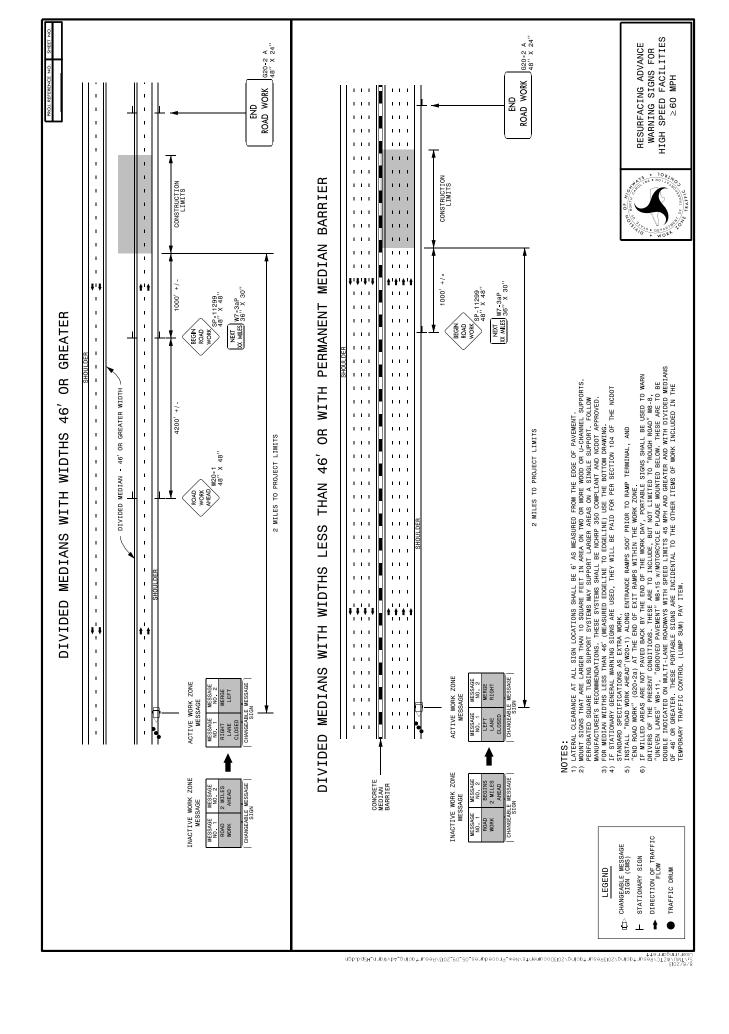
No compensation will be made for providing required training in accordance with these contract documents.

County	Route	Location	Approximate Acreage	Approxiamate Quantity (CY)
Nash	1-95	Mile Marker 139.3 Median	1.1	009
Wilson	1-795	At Interchange with NC 42 Southwest Quadrant	0.93	510
Wilson	1-795	At Interchange with NC 42 Northwest Quadrant	1.4	092
Wilson	1-795	At Interchange with SR 1163 (Downing St.) Southeast Quadrant Slopes and Loop	1.2	059
Wilson	1-795	At Interchange with SR 1163 (Downing St.) Northeast Quadrant	1.6	870
		Totals	6.23	3390









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# North Carolina Dept. of Transportation Bid Form Bark Mulch (Supply & Apply)

ITEM	SECT	DESCRIPTION	QUANTITY	UNIT	UNIT PRICE	AMOUNT BID
1	SP	BARK MULCH (SUPPLY & APPLY)	3,390	CY		

TOTAL BID FOR PROJECT: _	

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#### **EXECUTION OF BID**

## NON-COLLUSION AFFIDAVIT, DEBARMENT CERTIFICATION AND GIFT BAN CERTIFICATION

#### **CORPORATION**

The person executing the bid, on behalf of the Bidder, being duly sworn, solemnly swears (or affirms) that neither he, nor any official, agent or employee of the bidder has entered into any agreement, participated in any collusion, or otherwise taken any action which is in restraint of free competitive bidding in connection with any bid or contract, that the bidder has not been convicted of violating *N.C.G.S.* § 133-24 within the last three years, and that the Bidder intends to do the work with its own bonafide employees or subcontractors and is not bidding for the benefit of another contractor.

In addition, execution of this bid in the proper manner also constitutes the Bidder's certification of status under penalty of perjury under the laws of the United States in accordance with the Debarment Certification attached, provided that the Debarment Certification also includes any required statements concerning exceptions that are applicable.

N.C.G.S. § 133-32 and Executive Order 24 prohibit the offer to, or acceptance by, any State Employee of any gift from anyone with a contract with the State, or from any person seeking to do business with the State. By execution of any response in this procurement, you attest, for your entire organization and its employees or agents, that you are not aware that any such gift has been offered, accepted, or promised by any employees of your organization.

	Full nam	e of Corporation
	Address	as Prequalified
A 44 a a 4		•
Attest _	Secretary/Assistant Secretary Select appropriate title	By President/Vice President/Assistant Vice President Select appropriate title
	Print or type Signer's name	Print or type Signer's name
		CORPORATE SEAL
	AFFIDAVIT MU	UST BE NOTARIZED
Subscribed	d and sworn to before me this the	
day	of20	
		NOTARY SEAL
	Signature of Notary Public	<del></del>
of	County	
State of		
My Comm	iission Expires:	

#### **PARTNERSHIP**

The person executing the bid, on behalf of the Bidder, being duly sworn, solemnly swears (or affirms) that neither he, nor any official, agent or employee of the bidder has entered into any agreement, participated in any collusion, or otherwise taken any action which is in restraint of free competitive bidding in connection with any bid or contract, that the bidder has not been convicted of violating *N.C.G.S.* § 133-24 within the last three years, and that the Bidder intends to do the work with its own bonafide employees or subcontractors and is not bidding for the benefit of another contractor.

In addition, execution of this bid in the proper manner also constitutes the Bidder's certification of status under penalty of perjury under the laws of the United States in accordance with the Debarment Certification attached, provided that the Debarment Certification also includes any required statements concerning exceptions that are applicable.

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Ву	
Signature of Witness	Signature of Partner
Print or type Signer's name	Print or type Signer's name
AFFIDAVIT MUST B	E NOTARIZED
Subscribed and sworn to before me this the	NOTARY SEAL
day of 20	
Signature of Notary Public	
ofCounty	
State of	
My Commission Expires:	

#### LIMITED LIABILITY COMPANY

The person executing the bid, on behalf of the Bidder, being duly sworn, solemnly swears (or affirms) that neither he, nor any official, agent or employee of the bidder has entered into any agreement, participated in any collusion, or otherwise taken any action which is in restraint of free competitive bidding in connection with any bid or contract, that the bidder has not been convicted of violating *N.C.G.S.* § 133-24 within the last three years, and that the Bidder intends to do the work with its own bonafide employees or subcontractors and is not bidding for the benefit of another contractor.

In addition, execution of this bid in the proper manner also constitutes the Bidder's certification of status under penalty of perjury under the laws of the United States in accordance with the Debarment Certification attached, provided that the Debarment Certification also includes any required statements concerning exceptions that are applicable.

N.C.G.S. § 133-32 and Executive Order 24 prohibit the offer to, or acceptance by, any State Employee of any gift from anyone with a contract with the State, or from any person seeking to do business with the State. By execution of any response in this procurement, you attest, for your entire organization and its employees or agents, that you are not aware that any such gift has been offered, accepted, or promised by any employees of your organization.

	Full Nam	ne of Firm
	Address as	Prequalified
Signature of Witness		Signature of Member/Manager/Authorized Agent Select appropriate title
Print or type Signer's nam	ne	Print or type Signer's Name
AF	FIDAVIT MUS	T BE NOTARIZED
Subscribed and sworn to before me	this the	NOTARY SEAL
day of 20		
Signature of Notary Pub	·lic	_
of	County	
State of		
My Commission Expires:		

#### **JOINT VENTURE (2) or (3)**

The person executing the bid, on behalf of the Bidder, being duly sworn, solemnly swears (or affirms) that neither he, nor any official, agent or employee of the bidder has entered into any agreement, participated in any collusion, or otherwise taken any action which is in restraint of free competitive bidding in connection with any bid or contract, that the bidder has not been convicted of violating N.C.G.S. § 133-24 within the last three years, and that the Bidder intends to do the work with its own bonafide employees or subcontractors and is not bidding for the benefit of another contractor.

In addition, execution of this bid in the proper manner also constitutes the Bidder's certification of status under penalty of perjury under the laws of the United States in accordance with the Debarment Certification attached, provided that the Debarment Certification also includes any required statements concerning exceptions that are applicable.

N.C.G.S. § 133-32 and Executive Order 24 prohibit the offer to, or acceptance by, any State Employee of any gift from anyone with a contract with the State, or from any person seeking to do business with the State. By execution of any response in this procurement, you attest, for your entire organization and its employees or agents, that you are not aware that any such gift has been offered, accepted, or promised by any employees of your organization.

#### SIGNATURE OF CONTRACTOR

Instructions: **2 Joint Venturers** Fill in lines (1), (2) and (3) and execute. **3 Joint Venturers** Fill in lines (1), (2), (3) and (4) and execute. On Line (1), fill in the name of the Joint Venture Company. On Line (2), fill in the name of one of the joint venturers and execute below in the appropriate manner. On Line (3), print or type the name of the other joint venturer and execute below in the appropriate manner. On Line (4), fill in the name of the third joint venturer, if applicable and execute below in the appropriate manner.

	Signature of Witness or Attest	Ву		Signature of Contractor
	Print or type Signer's name			Print or type Signer's name
	If Corporation, affix Corporate Seal	and		
	Signature of Witness or Attest	Ву		Signature of Contractor
	Print or type Signer's name			Print or type Signer's name
	If Corporation, affix Corporate Seal	and		
	Signature of Witness or Attest	By		Signature of Contractor
	Print or type Signer's name			Print or type Signer's name
	be notarized for Line (2) d sworn to before me this	NOTARY SEAL Affidavit must be notarized for Lin Subscribed and sworn to before m		NOTARY SEA Affidavit must be notarized for Line (4) Subscribed and sworn to before me this
day of_	20	day of	20	day of 20
	Jotary PublicCounty	Signature of Notary Public ofState of		Signature of Notary Public ofCounty State of
My Commissi		My Commission Expires:		My Commission Expires:

#### INDIVIDUAL DOING BUSINESS UNDER A FIRM NAME

The person executing the bid, on behalf of the Bidder, being duly sworn, solemnly swears (or affirms) that neither he, nor any official, agent or employee of the bidder has entered into any agreement, participated in any collusion, or otherwise taken any action which is in restraint of free competitive bidding in connection with any bid or contract, that the bidder has not been convicted of violating N.C.G.S. § 133-24 within the last three years, and that the Bidder intends to do the work with its own bonafide employees or subcontractors and is not bidding for the benefit of another contractor.

In addition, execution of this bid in the proper manner also constitutes the Bidder's certification of status under penalty of perjury under the laws of the United States in accordance with the Debarment Certification attached, provided that the Debarment Certification also includes any required statements concerning exceptions that are applicable.

N.C.G.S. § 133-32 and Executive Order 24 prohibit the offer to, or acceptance by, any State Employee of any gift from anyone with a contract with the State, or from any person seeking to do business with the State. By execution of any response in this procurement, you attest, for your entire organization and its employees or agents, that you are not aware that any such gift has been offered, accepted, or promised by any employees of your organization.

Name of Contractor		
		Individual name
Trading and doing busine	ess as	
		Full name of Firm
Signature	of Witness	Signature of Contractor, Individually
Print or type	Signer's name	Print or type Signer's name
	AFFIDAVIT MUST I	BE NOTARIZED
Subscribed and sworn to b	perfore me this the	NOTARY SEAL
day of	20	
Signature of N	Notary Public	
of	County	
State of		
My Commission Expires:		

#### **Nash and Wilson Counties**

# EXECUTION OF BID NON-COLLUSION AFFIDAVIT, DEBARMENT CERTIFICATION AND GIFT BAN CERTIFICATION

#### INDIVIDUAL DOING BUSINESS IN HIS OWN NAME

The person executing the bid, on behalf of the Bidder, being duly sworn, solemnly swears (or affirms) that neither he, nor any official, agent or employee of the bidder has entered into any agreement, participated in any collusion, or otherwise taken any action which is in restraint of free competitive bidding in connection with any bid or contract, that the bidder has not been convicted of violating *N.C.G.S.* § 133-24 within the last three years, and that the Bidder intends to do the work with its own bonafide employees or subcontractors and is not bidding for the benefit of another contractor.

In addition, execution of this bid in the proper manner also constitutes the Bidder's certification of status under penalty of perjury under the laws of the United States in accordance with the Debarment Certification attached, provided that the Debarment Certification also includes any required statements concerning exceptions that are applicable.

N.C.G.S. § 133-32 and Executive Order 24 prohibit the offer to, or acceptance by, any State Employee of any gift from anyone with a contract with the State, or from any person seeking to do business with the State. By execution of any response in this procurement, you attest, for your entire organization and its employees or agents, that you are not aware that any such gift has been offered, accepted, or promised by any employees of your organization.

Name of Contractor		
	P	Print or type Individual name
	Address as Pr	requalified
		Signature of Contractor, Individually
	_	Print or type Signer's Name
Signature of Wit	tness	
Print or type Signer	's name	
	AFFIDAVIT MUST	BE NOTARIZED
Subscribed and sworn to before	me this the	NOTARY SEAL
day of	20	
Signature of Notary	Public	
of	County	
State of		
My Commission Expires:		

#### **DEBARMENT CERTIFICATION**

#### Conditions for certification:

- 1. The prequalified bidder shall provide immediate written notice to the Department if at any time the bidder learns that his certification was erroneous when he submitted his debarment certification or explanation filed with the Department, or has become erroneous because of changed circumstances.
- 2. The terms covered transaction, debarred, suspended, ineligible, lower tier covered transaction, participant, person, primary covered transaction, principal, proposal, and voluntarily excluded, as used in this provision, have the meanings set out in the Definitions and Coverage sections of the rules implementing Executive Order 12549. A copy of the Federal Rules requiring this certification and detailing the definitions and coverages may be obtained from the Contract Officer of the Department.
- 3. The prequalified bidder agrees by submitting this form, that he will not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in NCDOT contracts, unless authorized by the Department.
- 4. For Federal Aid projects, the prequalified bidder further agrees that by submitting this form he will include the Federal-Aid Provision titled *Required Contract Provisions Federal-Aid Construction Contract (Form FHWA PR* 1273) provided by the Department, without subsequent modification, in all lower tier covered transactions.
- 5. The prequalified bidder may rely upon a certification of a participant in a lower tier covered transaction that he is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless he knows that the certification is erroneous. The bidder may decide the method and frequency by which he will determine the eligibility of his subcontractors.
- 6. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this provision. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
- 7. Except as authorized in paragraph 6 herein, the Department may terminate any contract if the bidder knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available by the Federal Government.

#### **DEBARMENT CERTIFICATION**

The prequalified bidder certifies to the best of his knowledge and belief, that he and his principals:

- a. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
- b. Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records; making false statements; or receiving stolen property;
- c. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph b. of this certification; and
- d. Have not within a three-year period preceding this proposal had one or more public transactions (Federal, State or local) terminated for cause or default.
- e. Will submit a revised Debarment Certification immediately if his status changes and will show in his bid proposal an explanation for the change in status.

If the prequalified bidder cannot certify that he is not debarred, he shall provide an explanation with this submittal. An explanation will not necessarily result in denial of participation in a contract.

Failure to submit a non-collusion affidavit and debarment certification will result in the prequalified bidder's bid being considered non-responsive.

Check here if an explanation is attached to this certification.

## **Execution of Contract**

Contract No: N/A
County: Nash and Wilson Counties
ACCEPTED BY THE DEPARTMENT
Proposals Engineer
Troposais Engineer
Date
EXECUTION OF CONTRACT AND BONDS APPROVED AS TO FORM:
Division Engineer
Date

Signature Sheet (Bid) - ACCEPTANCE SHEET

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